

Pushdown Automata and Context-Free Languages

NPDA's

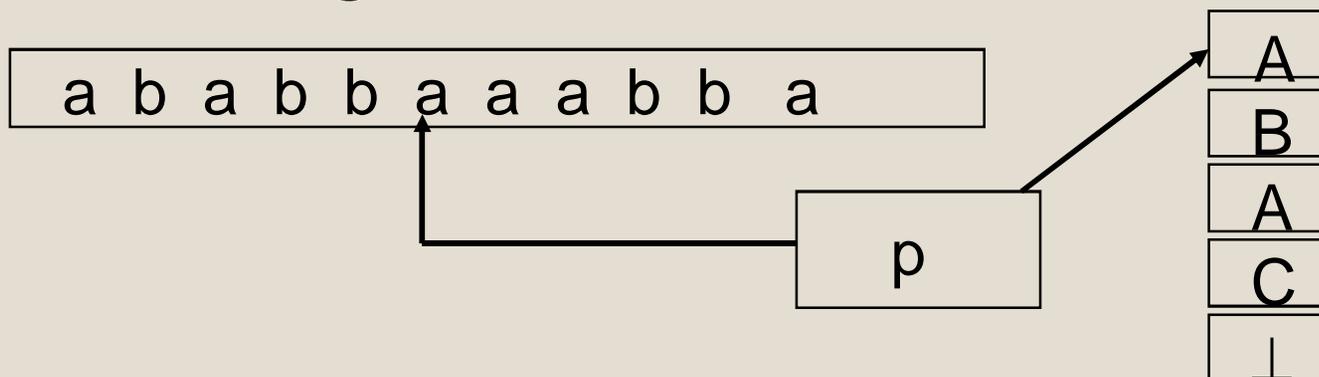
- A NPDA (Nondeterministic PushDown Automata) is a 7-tuple

$M = (Q, S, G, d, s, \perp, F)$ where

- Q is a finite set (the states)
 - S is a finite set (the input alphabet)
 - G is a finite set (the stack alphabet)
 - $d \subseteq (Q \times (S \cup \{e\}) \times G) \times (Q \times G^*)$ is the transition relation
 - $s \in Q$ is the start state
 - $\perp \in G$ is the initial stack symbol
 - $F \subseteq Q$ is the final or accept states
- $((p, a, A), (q, B_1 B_2 \dots B_k)) \in d$ means that whenever the machine is in state p reading input symbol a on the input tape and A on the top of the stack, it pops A off the stack, push $B_1 B_2 \dots B_k$ onto the stack (B_k first and B_1 last), move its read head right one cell past the one storing a and enter state q .
 - $((p, e, A), (q, B_1 B_2 \dots B_k)) \in d$ means similar to $((p, a, A), (q, B_1 B_2 \dots B_k)) \in d$ except that it need not scan and consume any input symbol.

Configurations

- Collection of information used to record the snapshot of an executing NPDA
- an element of $Q \times S^* \times G^*$.
- Configuration $C = (q, x, w)$ means
 - the machine is at state q ,
 - the rest unread input string is x ,
 - the stack content is w .
- Example: the configuration $(p, baaabba, ABAC\perp)$ might describe the situation:



Start configuration and the next configuration relations

- Given a NPDA M and an input string x , the configuration (s, x, \perp) is called the start configuration of NPDA on x .
- $CF_M =_{\text{def}} Q \times S^* \times G^*$ is the set of all possible configurations for a NPDA M .
- One-step computation ($-->_M$) of a NPDA:
 - $(p, ay, Ab) -->_M (q, y, g)$ for each $((p, a, A), (q, g)) \in d$. (1)
 - $(p, y, Ab) -->_M (q, y, g)$ for each $((p, e, A), (q, g)) \in d$. (2)
 - Let the next configuration relation $-->_M$ on CF_M^2 be the set of pairs of configurations satisfying (1) and (2).
 - $-->_M$ describes how the machine can move from one configuration to another in one step. (i.e., $C -->_M D$ iff D can be reached from C by executing one instruction)
 - Note: NPDA is nondeterministic in the sense that for each C there may exist multiple D 's s.t. $C -->_M D$.

Multi-step computations and acceptance

- Given a next configuration relation \rightarrow_M :
Define \rightarrow_M^n and \rightarrow_M^* as usual, i.e.,
 - $C \rightarrow_M^0 D$ iff $C = D$.
 - $C \rightarrow_M^{n+1} D$ iff $\exists E C \rightarrow_M^n E$ and $E \rightarrow_M D$.
 - $C \rightarrow_M^* D$ iff $\exists n \geq 0 C \rightarrow_M^n D$.
 - i.e., \rightarrow_M^* is the ref. and trans. closure of \rightarrow_M .
- Acceptance: When will we say that an input string x is accepted by an NPDA M ?
 - two possible answers:
 1. by **final states**: M accepts x (by final state) iff $(s, x, \perp) \rightarrow_M^* (p, e, a)$ for some final state $p \in F$.
 2. by **empty stack**: M accepts x by empty stack iff $(s, x, \perp) \rightarrow_M^* (p, e, e)$ for any state p .
 - Remark: both kinds of acceptance have the same expressive power.

Language accepted by a NPDAs

$M = (Q, S, G, \$, F)$: a NPDA.

The languages accepted by M is defined as follows:

- 1. accepted by final state:
 - $L_f(M) = \{x \mid M \text{ accepts } x \text{ by final state}\}$
- 2. accepted by empty stack:
 - $L_e(M) = \{x \mid M \text{ accepts } x \text{ by empty stack}\}.$
- 3. Note: Depending on the context, we may sometimes use L_f and sometimes use L_e as the official definition of the language accepted by a NPDA. I.e., if there is no worry of confusion, we use $L(M)$ instead of $L_e(M)$ or $L_f(M)$ to denote the language accepted by M .
- 4. In general $L_e(M) \neq L_f(M)$.

Some example NPDAs

Ex 23.1 : M_1 : A NPDA accepting the set of balanced strings of parentheses $[]$ by empty stack.

- M_1 requires only one state q and behaves as follows:
 1. while input is '[' : push '[' onto the stack ;
 2. while input is ']' and top is '[' : pop
 3. while input is 'ε' and top is \perp : pop.

Formal definition: $Q = \{q\}$, $S = \{[,]\}$, $G = \{[, \perp\}$,
 start state = q , initial stack symbol = \perp .

$d = \{ ((q, [, \perp), (q, [\perp)), ((q, [, []), (q, [[])),$

// 1

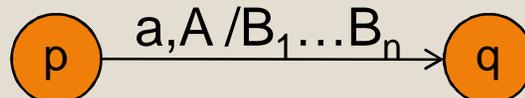
$((q,], []), (q, \epsilon)), // 2$

$((q, \epsilon, \perp), (q, \epsilon)) \} // 3$

Transition Diagram representation of the program d :

$((p, a A) , (q, B_1 \dots B_n)) \in d \Rightarrow$

- This machine is not deterministic. Why ?



Example : Execution sequences of M1

- let input $x = [[[]] []] []$. Then below is a successful computation of M_1 on x :
- $(q, [[[]] []] [], \perp)$: the start configuration
 $\xrightarrow{M} (q, [[]] []] [], [\perp])$ instruction or transition (i)
 $\xrightarrow{M} (q, []] []] [], [[\perp])$ transition (ii)
 $\xrightarrow{M} (q, []] []] [], [[[\perp])$ transition (ii)
 $\xrightarrow{M} (q, []] []] [], [[[\perp])$ transition (iii)
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 $\xrightarrow{M} (q, []] []] [], [[[\perp])$ transition (iii)
 $\xrightarrow{M} (q, []] []] [], [[[\perp])$ transition (iv)
 accepts by empty stack

Failure computation of M1 on x

- Note besides the above successful computation, there are other computations that fail.

Ex: $(q, [[]] [] [], \perp)$: the start configuration

$\xrightarrow{*}_M (q, [], \perp)$

$\xrightarrow{M} (q, [],)$ transition (iv)

a dead state at which the input is not empty and we

cannot move further \implies failure!!

Note: For a NPDA to accept a string x , we need *only one successful computation* (i.e., $\exists D = (_, e, e)$ with empty input and stack s.t. $(s, x, \perp) \xrightarrow{*}_M D$.)

- Theorem 1: String $x \in \{[,]\}^*$ is balanced iff it is accepted by M_1 by empty stack.

- Definitions:

1. A string x is said to be **pre-balanced** if $L(y) \geq R(y)$ for all prefixes y of x .
2. A configuration (q, z, a) is said to be **blocked** if the pda M cannot use up input z , i.e., there is no state r and stack b such that $(q, z, a) \rightarrow^* (r, e, b)$.

- Facts:

- 1. If initial configuration (s, z, \perp) is blocked then z is not accepted by M .
- 2. If (q, z, a) is blocked then (q, zw, a) is blocked for all $w \in S^*$.

Pf: 1. If (s, z, \perp) is blocked, then there is no state p , stack b such that $(s, z, \perp) \rightarrow^* (p, e, b)$, and hence z is not accepted.

2. Assume (q, zw, a) is not blocked, then there must exist intermediate cfg (p, w, a') such that $(q, zw, a) \rightarrow^* (p, w, a') \rightarrow^* (r, e, b)$. But $(q, zw, a) \rightarrow^* (p, w, a')$ implies $(q, z, a) \rightarrow^* (p, e, a'')$ and (q, z, a) is not blocked.

- Lemma 1: For all strings $z, x,$
 - if z is prebalanced then $(q, zx, \perp) \xrightarrow{*} (q, x, a\perp)$ iff $a = [^{L(z)-R(z)}$;
 - if z is not prebalanced, (q, z, \perp) is blocked.

Pf: By induction on z .

basic case: $z = e$. Then $(q, zx, \perp) = (q, x, \perp) \xrightarrow{0} (q, x, a\perp)$ iff $a = [^{L(z)-R(z)}$.

inductive case: $z = ya$, where a is '[' or ']'.

case 1: $z = y[$.

If y is prebalanced, then so is z . By ind. hyp. $(q, zx, \perp) = (q, y[, \perp) \xrightarrow{*} (q, [x, [^{L(y)-R(y)}\perp) \xrightarrow{*} (q, x, [[^{L(y)-R(y)}\perp) = (q, x, [^{L(z)-R(z)}\perp)$.

If y is not prebalanced, then, by ind. hyp., (q, y, \perp) is blocked and hence $(q, y[, \perp)$ is blocked as well.

case 2: $z = y]$.

If y is not prebalanced, then neither is z . By ind. hyp. (q, y, \perp) is blocked, hence $(q, y], \perp)$ is blocked

If y is prebalanced and $L(y) = R(y)$. Then z is not prebalanced.

By ind. hyp., if $(q, y], \perp) \xrightarrow{*} (q,], a\perp)$ then $a = [^{L(z)-R(z)} = e$, but then $(q,], \perp)$ is blocked. Hence (q, z, \perp) is blocked.

Finally, if y is prebalanced and $L(y) > R(y)$. Then z is prebalanced, and

$$\begin{aligned} (q, y]x, \perp) &\xrightarrow{*} (q,]x, [^{L(y)-R(y)} \perp) \quad \text{--- ind. hyp} \\ &\xrightarrow{} (q, x, [^{L(y)-R(y)-1} \perp) \quad \text{--- (iii)} \\ &= (q, x, [^{L(z)-R(z)} \perp) \end{aligned}$$

On the other hand, if

$(q, y]x, \perp) \xrightarrow{*} (q, x, a \perp)$. Then there must exist a cfg $(q,]x, b)$ such that

$$(q, y]x, \perp) \xrightarrow{*} (q,]x, b) \xrightarrow{*} (q, x, a \perp).$$

But then the instructions executed in the last part must be $IV^* III IV^*$.

If $(q,]x, b) \xrightarrow{IV^* III IV^*} (q, x, a \perp)$, then $b = \perp^m [\perp^n a \perp$. But by ind. hyp., $b = [^{L(y)-R(y)} \perp$, hence $m = 0$, $n = 0$ and $a = [^{L(y)-R(y)-1} \perp$.

Pf [of theorem 1] : Let x be any string.

If x is balanced, then it is prebalanced and $L(x) - R(x) = 0$. Hence, by lemma 1, $(q, xe, \perp) \xrightarrow{*} (q, e, [^0 \perp) \xrightarrow{IV} (q, e, e)$. As a result, x is accepted.

If x is not balanced, it is not prebalanced. Hence, by lemma 1, (q, x, \perp) is blocked and is not accepted.

Another example

- The set $\{ww \mid w \in \{a,b\}^*\}$ is known to be not Context-free but its complement $L_1 = \{a,b\}^* - \{ww \mid w \in \{a,b\}^*\}$ is.

Exercise: Design a NPDA to accept L_1 by empty stack.

Hint: $x \in L_1$ iff

(1) $|x|$ is odd or

(2) $x = yazybz'$ or $ybzyaz'$ for some $y,z,z' \in \{a,b\}^*$
with $|z|=|z'|$, which also means

$x = yay'ubu'$ or $yby'uau'$ for some $y,y',u,u' \in \{a,b\}^*$

with $|y|=|y'|$ and $|u|=|u'|$.

Equivalent expressive power of both types of acceptance

- $M = (Q, S, G, d, s, F)$: a PDA
 Let u, t : two new states $\notin Q$ and
 \diamond : a new stack symbol $\notin G$.
- Define a new PDA $M' = (Q', S, G', d', s', \diamond, F')$ where
 - $Q' = Q \cup \{u, t\}$, $G' = G \cup \{\diamond\}$, $s' = u$, $F' = \{t\}$ and
 - $d' = d \cup \{ (u, e, \diamond) \rightarrow (s, \perp \diamond) \}$ // push \perp and call M
 - $\cup \{ (f, e, A) \rightarrow (t, A) \mid f \in F \text{ and } A \in G' \}$ /* return to M'
 - $\cup \{ (t, e, A) \rightarrow (t, e) \mid A \in G' \}$ // pop until EmptyStack
- Diagram form relating M and M' : see next slide.

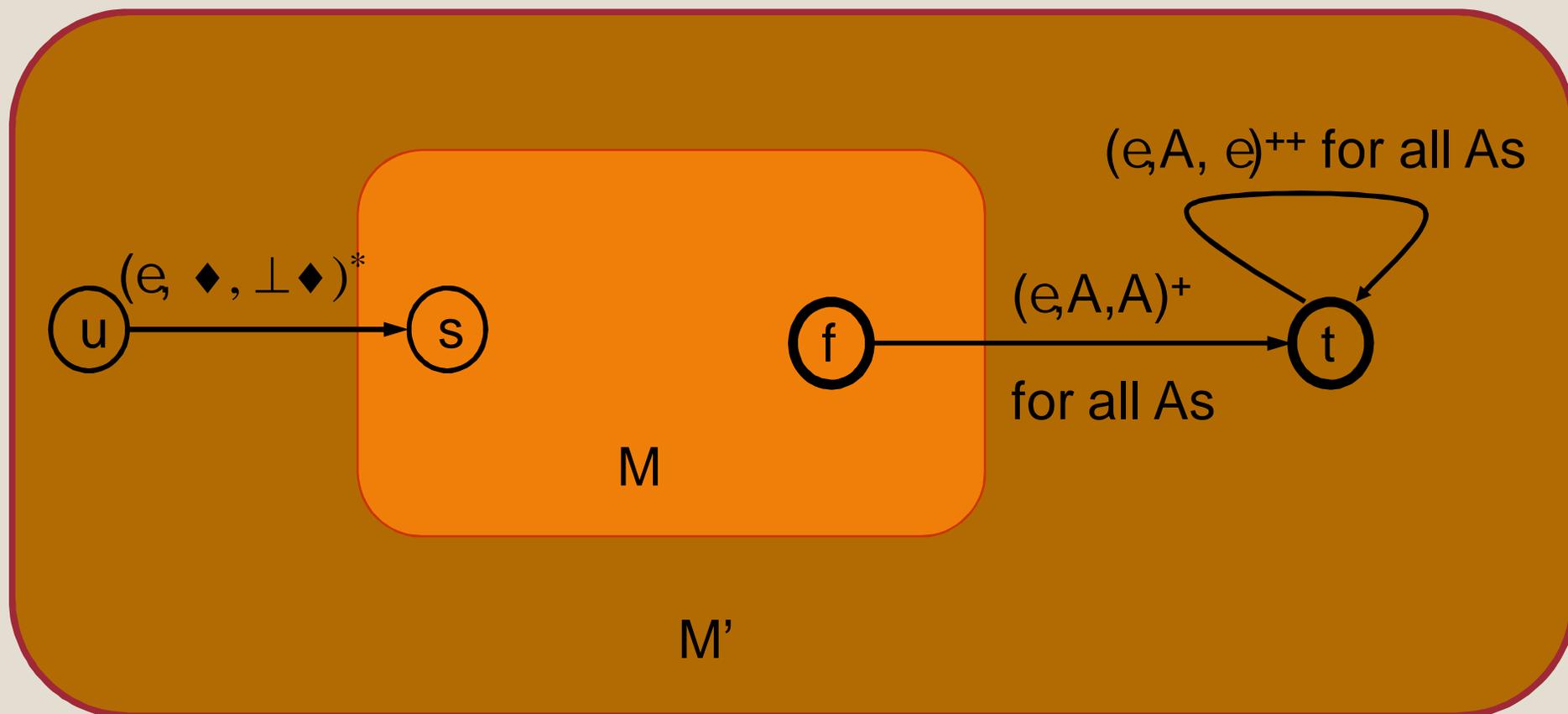
Theorem: $L_f(M) = L_e(M')$

pf: M accepts $x \Rightarrow (s, x, \perp) \rightarrow^n_M (q, e, g)$ for some $q \in F$

$\Rightarrow (u, x, \diamond) \rightarrow_{M'} (s, x, \perp \diamond) \rightarrow^n_{M'} (q, e, g \diamond) \rightarrow_{M'} (t, e, g \diamond)$

$\rightarrow^*_{M'} (t, e, e) \Rightarrow M'$ accepts x by empty stack.

From final state to empty stack:



*: push \perp and call M

+: return to t of M' once reaching final states of M

++: pop all stack symbols until empty stack

From FinalState to EmptyStack

Conversely, M' accepts x by empty stack

$$\Rightarrow (u, x, \diamond) \xrightarrow{M'} (s, x, \perp \diamond) \xrightarrow{*M'} (q, y, g \diamond) \xrightarrow{*} (t, y, g \diamond)$$

(t, e, e) for some $q \in F$

$\Rightarrow y = e$ since M' cannot consume any input symbol after it enters state t . $\Rightarrow M$ accepts x by final state.

- Define next new PDA $M'' = (Q', S, G', d'', s', \diamond, F')$ where
 - $Q' = Q \cup \{u, t\}$, $G' = G \cup \{\diamond\}$, $s' = u$, $F' = \{t\}$ and
 - $d'' = d \cup \{(u, e, \diamond) \xrightarrow{*} (s, \perp \diamond)\}$ // push \perp and call M
 - $\cup \{(p, e, \diamond) \xrightarrow{*} (t, e) \mid p \in Q\}$ /* return to M'' and accept
 - if EmptyStack */
 -
- Diagram form relating M and M'' : See slide 15.

From EmptyStack to FinalState

- Theorem: $L_e(M) = L_f(M'')$.

pf: M accepts $x \Rightarrow (s, x, \perp) \xrightarrow{n}_M (q, e, e)$

$\Rightarrow (u, x, \blacklozenge) \xrightarrow{M''} (s, x, \perp \blacklozenge) \xrightarrow{n}_{M''} (q, e, e \blacklozenge) \xrightarrow{M''} (t, e, e)$

$\Rightarrow M''$ accepts x by final state (and empty stack).

Conversely, M'' accepts x by final state (and empty stack)

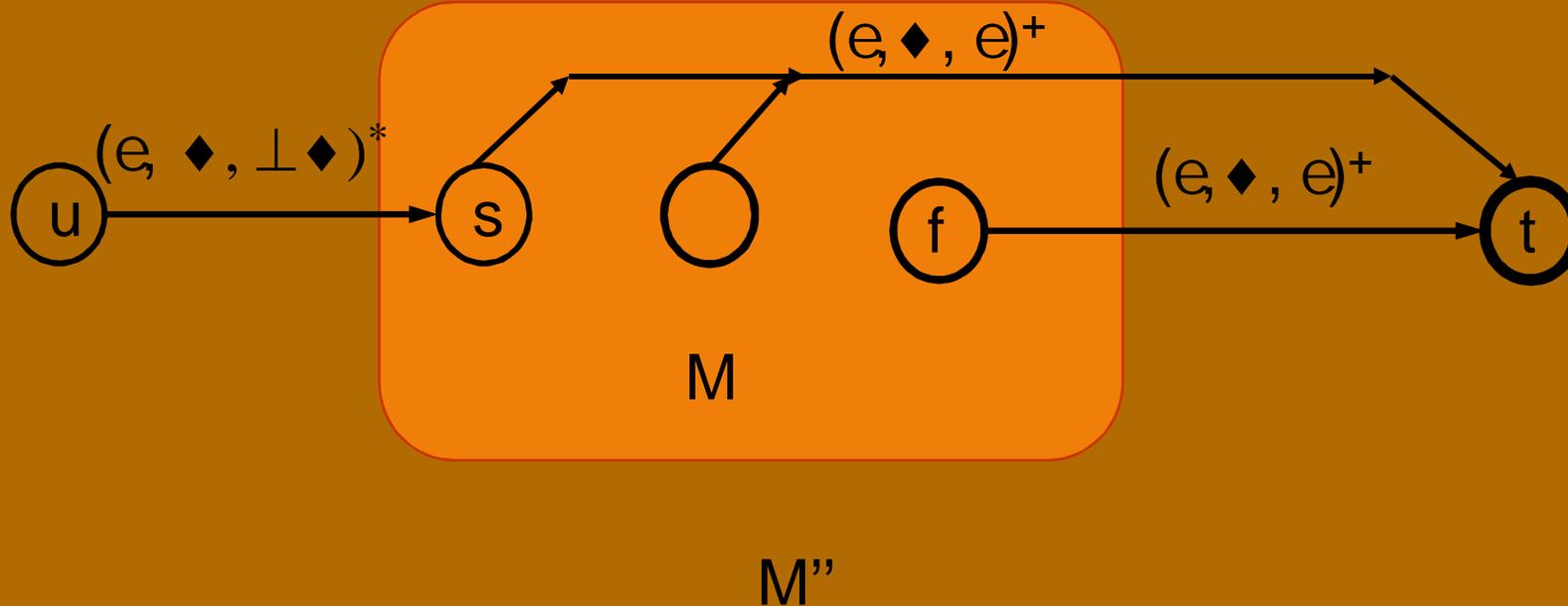
$\Rightarrow (u, x, \blacklozenge) \xrightarrow{M''} (s, x, \perp \blacklozenge) \xrightarrow{*}_{M''} (q, y, \blacklozenge) \xrightarrow{M''} (t, e, e)$ for
some state q in Q

$\Rightarrow y = e$ [and $STACK = e$] since M'' does not consume any input
symbol at the last transition $((q, e, \blacklozenge), (t, e))$

$\Rightarrow M$ accepts x by empty stack.

QED

From emptystack to final state (and emptystack)



* : push \perp and call M

+ : if emptystack (i.e. see \blacklozenge on stack),
then pop \blacklozenge and return to state t of M''

Equivalence of PDAs and CFGs

- Every CFL can be accepted by a PDA.
- $G = (N, S, P, S)$: a CFG.
 - wlog assume all productions of G are of the form:
 - $A \rightarrow c B_1 B_2 B_3 \dots B_k$ ($k \geq 0$) and $c \in S \cup \{e\}$.
 - note: 1. $A \rightarrow e$ satisfies such constraint; 2. can require $k \leq 2$.
- Define a PDA $M = (\{q\}, S, N, d, q, S, \{\})$ from G where
 - q is the only state (hence also the start state),
 - S , the set of terminal symbols of G , is the input alphabet of M ,
 - N , the set of nonterminals of G , is the stack alphabet of M ,
 - S , the start nonterminal of G , is the initial stack symbol of M ,
 - $\{\}$ is the set of final states. (hence M accepts by empty stack!!)
 - $d = \{ ((q, c, A), (q, B_1 B_2 \dots B_k)) \mid A \rightarrow c B_1 B_2 B_3 \dots B_k \in P \}$

Example

- $G : \begin{array}{l} 1. S \rightarrow [B S \\ 2. S \rightarrow [B \\ 3. S \rightarrow [S B \\ 4. S \rightarrow [S B S \\ 5. B \rightarrow] \end{array} \quad \Rightarrow d : \begin{array}{l} (q, [, S) \xrightarrow{\quad} (q, B S) \\ (q, [, S) \xrightarrow{\quad} (q, B) \\ (q, [, S) \xrightarrow{\quad} (q, S B) \\ (q, [, S) \xrightarrow{\quad} (q, S B S) \\ (q,], B) \xrightarrow{\quad} (q, e) \end{array}$

- $L(G)$ = the set of nonempty balanced parentheses.

- leftmost derivation v.s. computation sequence
(see next table)

$$S \xrightarrow{*}_G [[[[]] []] \quad \Leftrightarrow (q, [[[[]] []], S) \xrightarrow{*}_M (q, e, e)$$

rule applied	sentential form of left-most derivation	configuration of the pda accepting x
	S	(q, [[]] [], S)
3	[S B	(q, [[[]] [], SB)
4	[[S B S B	(q, [[[[]] [], SBSB)
2	[[[B B S B	(q, [[[[]] [], BBSB)
5	[[[] B S B	(q, [[[[]] [], BSB)
5	[[[]] S B	(q, [[[[]]], SB)
2	[[[]] [B B	(q, [[[[]] []], BB)
	[[[]] [] B	(q, , [[[[]]]], B)
5	[[[[]] []]	(q, , [[[[]]]] ,)

leftmost derivation v.s. computation sequence

Lemma 24.1: For any $z, y \in S^*$, $g \in N^*$ and $A \in N$,

$$A \xrightarrow{L}_G^n z g \quad \text{iff} \quad (q, zy, A) \xrightarrow{M}_n (q, y, g)$$

Ex: $S \xrightarrow{L}_G^3 [[[BBSB]]] \iff (q, [[[]]] , S) \xrightarrow{M}_3 (q, [] [])$

of: By ind. on n .

Basis: $n = 0$. $A \xrightarrow{L}_G^0 z g \quad \text{iff} \quad z = e \text{ and } g = A$
 $\text{iff } (q, zy, A) = (q, y, g) \quad \text{iff } (q, zy, A) \xrightarrow{M}_0 (q, y, g)$

Ind. case: 1. (only-if part)

Suppose $A \xrightarrow{L}_G^{n+1} z g$ and $B \rightarrow cb$ was the last rule applied.
 I.e., $A \xrightarrow{L}_G^n uBa \xrightarrow{L}_G uc ba = z g$ with $z = uc$ and $g = ba$

Hence $(q, u cy, A) \xrightarrow{M}_n (q, cy, Ba) \quad // \text{ by ind. hyp.}$
 $\xrightarrow{M} (q, y, ba) \quad // \text{ since } ((q, c, B), (q, b))$

leftmost derivation v.s. computation sequence (cont'd)

2. (if-part) Suppose $(q, zy, A) \xrightarrow{n+1}_M (q, y, g)$ and $((q, c, B), (q, b)) \in d$ is the last transition executed. I.e.,

$(q, zy, A) \xrightarrow{n}_M (q, cy, Ba) \xrightarrow{1}_M (q, y, ba)$ with $g = ba$ and $z = uc$ for some u . But then

$A \xrightarrow{n}_G uBa$ // by ind. hyp.,

$\xrightarrow{1}_G ucba = zg$ // since by def. $B \rightarrow cb \in P$

Hence $A \xrightarrow{n+1}_G zg$ QED

Theorem 24.2: $L(G) = L(M)$.

pf: $x \in L(G)$ iff $S \xrightarrow{*}_G x$

iff $(q, x, S) \xrightarrow{*}_M (q, e,)e$

iff $x \in L(M)$. QED

Simulating PDAs by CFGs

Claim: Every language accepted by a PDA can be generated by a CFG.

- Proved in two steps:
 - 1. Special case : Every PDA with only one state has an equivalent CFG
 - 2. general case: Every PDA has an equivalent CFG.
- Corollary: Every PDA can be minimized to an equivalent PDA with only one state.

pf: M : a PDA with more than one state.

1. apply step 2 to find an equivalent CFG G
2. apply theorem 24.2 on G , we find an equivalent PDA with only one state.

PDA with only one state has an equivalent CFG.

- $M = (\{s\}, S, G, d, s, \perp, \{\})$: a PDA with only one state.

Define a CFG $G = (G, S, P, \perp)$ where

$$P = \{ A \rightarrow cb \mid ((q, c, A), (q, b)) \in d \}$$

Note: $M \Rightarrow G$ is just the inverse of the transformation :

$G \Rightarrow M$ defined at slide 16.

Theorem: $L(G) = L(M)$.

Pf: Same as the proof of Lemma 24.1 and Theorem 24.2.

Simulating general PDAs by CFGs

- How to simulate arbitrary PDA by CFG ?
 - idea: encode all state/stack information in nonterminals !!

Wlog, assume $M = (Q, S, G, d, s, \perp, \{t\})$ be a PDA with only one final state and M can empty its stack before it enters its final state. (The general pda at slide 15 satisfies such constraint.)

Let $N \subseteq Q \times G^* \times Q$. Elements of N are written as

$\langle pABCq \rangle$!

Define a CFG $G = (N, S, \langle s\perp t \rangle, P)$ where

$P = \{ \langle pAr \rangle \rightarrow c \langle q B_1 B_2 \dots B_k r \rangle$

$\mid ((p, c, A), (q, B_1 B_2 \dots B_k)) \in d, k \geq 0, c \in S \cup \{\epsilon\}$

Rules for $\langle q B_1 B_2 \dots B_k r \rangle$

We want $\langle q B_1 \dots B_k r \rangle$ to simulate the computation process in PDA M:

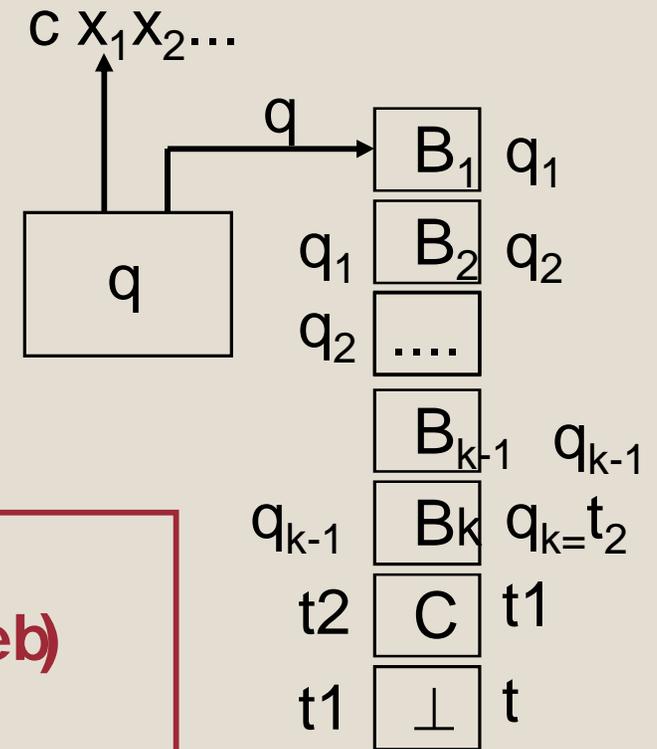
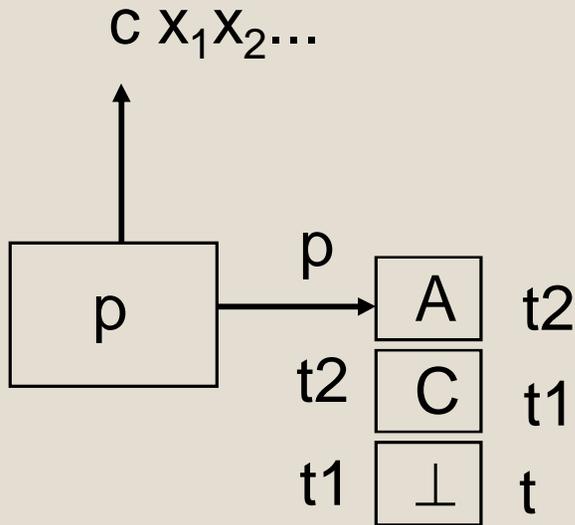
$(q, \underline{w}y, \underline{B_1 B_2 \dots B_k} b) \vdash \dots \vdash (r, y, b)$ iff $\langle q B_1 \dots B_k r \rangle \rightarrow^* w$.

Hence: if $k = 0$. i.e., $\langle q B_1 B_2 \dots B_k r \rangle = \langle q r \rangle$, we should have
 $\langle q r \rangle \rightarrow e$ if $q = r$ and
 $\langle q r \rangle$ has no rule if $q \neq r$.

If $k > 1$. Let $B_1 B_2 \dots B_k = B_1 D_2$, then :

- $\langle q B_1 D_2 r \rangle \rightarrow S_{u_1 \in Q} \langle q B_1 u_1 \rangle \langle u_1 D_2 r \rangle$
- $\rightarrow S_{u_1 \in Q} S_{u_2 \in Q} \langle q B_1 u_1 \rangle \langle u_1 B_2 u_2 \rangle \langle u_2 D_2 r \rangle$
- $\rightarrow \dots$
- $\rightarrow S_{u_1 \in Q} S_{u_2 \in Q} \dots \langle q B_1 u_1 \rangle \langle u_1 B_2 u_2 \rangle \dots \langle u_{k-1} B_k U_k \rangle \langle U_k D_k r \rangle$
- $\rightarrow S_{u_1 \in Q} S_{u_2 \in Q} \dots \langle q B_1 u_1 \rangle \langle u_1 B_2 u_2 \rangle \dots \langle u_{k-1} B_k r \rangle$

$$(p, c, A) \dashrightarrow (q, B_1 B_2 \dots B_k)$$



We want to use $\langle pAq \rangle \rightarrow^* w$ to simulate the computation: $(p, wy, Ab) \rightarrow^*_M (q, y, eb)$
So, if $(p,c,A) \rightarrow_M (q, a)$ we have rules :
 $\langle p A r \rangle \rightarrow c \langle q a r \rangle$ for all states r .

How to derive the rule $\langle p A r \rangle \rightarrow c \langle q a r \rangle$?

How to derive rules for the nonterminal : $\langle q a r \rangle$

- case 1: $a = B_1 B_2 B_3 \dots B_n$ ($n > 0$)
 - $\Rightarrow \langle q a r \rangle = \langle q B_1 Q B_2 Q B_3 Q \dots Q B_n r \rangle$
 - $\Rightarrow \langle q a r \rangle \rightarrow \langle q B_1 q_1 \rangle \langle q_1 B_2 q_2 \rangle \dots$
 - $\langle q_{n-1} B_n r \rangle$ for all states q_1, q_2, \dots, q_{n-1} in Q .
- case 2: $a = \epsilon$.
 - $q = r \Rightarrow \langle q a r \rangle = \langle q \epsilon r \rangle \rightarrow \epsilon$.
 - $q \neq r \Rightarrow \langle q \epsilon r \rangle$ cannot derive any string.
 - Then $\langle p A q \rangle \rightarrow c \langle q \epsilon q \rangle = c$.

Simulating PDAs by CFG (cont'd)

- Note: Besides storing state information on the nonterminals, G simulate M by guessing nondeterministically what states M will enter at certain future points in the computation, saving its guesses on the sentential form, and then verifying later that the guesses are correct.

Lemma 25.1: $(p, x, B_1 B_2 \dots B_k) \xrightarrow{n_M} (q, e, \epsilon)$ iff

$\exists q_1, q_2, \dots, q_k (=q)$ such that

$$\langle p B_1 q_1 \rangle \langle q_1 B_2 q_2 \rangle \dots \langle q_{k-1} B_k q \rangle \xrightarrow{n_G} x. \quad (*)$$

Note: 1. when $k = 0$ $(*)$ is reduced to $\langle pq \rangle \xrightarrow{n_G} x$

2. In particular, $(p, x, B) \xrightarrow{n_M} (q, e, \epsilon)$ iff $\langle p B q \rangle \xrightarrow{n_G} x$.

Pf: by ind. on n . Basis: $n = 0$.

LHS holds iff $(x = e, k = 0, \text{ and } p = q)$ iff RHS holds.

Simulating PDAs by single-state PDAs (cont'd)

Inductive case:

(\Rightarrow ;) Suppose $(p, x, B_1 B_2 \dots B_k) \xrightarrow{n+1}_M (q, e, \epsilon)$ and $((p, \underline{c}, \underline{B_1}), (r, \underline{C_1 C_2 \dots C_m}))$ is the first instr. executed. I.e., $(p, x, B_1 B_2 \dots B_k) \xrightarrow{1}_M (r, y, C_1 C_2 \dots C_m B_2 \dots B_k) \xrightarrow{n}_M (q, e, \epsilon)$ where $x = cy$.

By ind. hyp., \exists states $r_1, \dots, r_{m-1}, (r_m = q_1), q_2, \dots, q_{k-1}$ with $\langle r C_1 r_1 \rangle \langle r_1 C_2 r_2 \rangle \dots \langle r_{m-1} C_m q_1 \rangle \langle q_1 B_2 q_2 \rangle \dots \langle q_{k-1} B_k q_k \rangle \xrightarrow{n}_G y$

Also by the definition of G:

$\langle \underline{p B_1 q_1} \rangle \rightarrow c \langle \underline{r_0 C_1 r_1} \rangle \langle \underline{r_1 C_2 r_2} \rangle \dots \langle \underline{r_{m-1} C_m q_1} \rangle$ is a rule of G.

Combining both, we get:

$\langle p B_1 q_1 \rangle \langle q_1 B_2 q_2 \rangle \dots \langle q_{k-1} B_k q_k \rangle$
 $\xrightarrow{1}_G c \langle r_0 C_1 r_1 \rangle \langle r_1 C_2 r_2 \rangle \dots \langle r_{m-1} C_m q_1 \rangle \langle q_1 B_2 q_2 \rangle \dots \langle q_{k-1} B_k q_k \rangle$
 $\xrightarrow{n}_G c y \quad (= x).$

Simulating PDAs by CFGs (cont'd)

(\Leftarrow): Suppose $\langle pB_1q_1 \rangle \langle q_1 B_2 q_2 \rangle \dots \langle q_{k-1} B_k q \rangle \xrightarrow{L}^{n+1}_G x$.

Let $\langle pB_1q_1 \rangle \rightarrow c \langle r_0 C_1 r_1 \rangle \langle r_1 C_2 r_2 \rangle \dots \langle r_{m-1} C_m q_1 \rangle \in P \text{ --} (*)$

be the first rule applied. i.e., Then

$$\begin{aligned} & \langle p B_1 q_1 \rangle \langle q_1 B_2 q_2 \rangle \dots \langle q_{k-1} B_k q \rangle \\ & \xrightarrow{L}_G c \langle r_0 C_1 r_1 \rangle \langle r_1 C_2 r_2 \rangle \dots \langle r_{m-1} C_m q_1 \rangle \langle q_1 B_2 q_2 \rangle \dots \langle q_{k-1} B_k q \rangle \\ & \xrightarrow{L}_G^n cy \quad (= x) \end{aligned}$$

But then since, by (*), $[(p, c, B_1), (r_0, C_1 C_2 \dots C_m)] \text{ --} (**)$ is an M ,

$$\begin{aligned} (p, x, B_1 \dots B_k) & \text{ --} >_M (r_0, y, C_1 C_2 \dots C_m B_2 \dots B_k) \text{ --- By } (**) \\ & \text{ --} >^n_M (q, e, e) \text{ -- , by ind. hyp. QED} \end{aligned}$$

Theorem 25.2 $L(G) = L(M)$.

Pf: $x \in L(G)$ iff $\langle s \perp t \rangle \xrightarrow{*} x$

iff $(s, x, \perp) \text{ --} >^*_M (t, e, e) \text{ ---- Lemma 25.1}$

iff $x \in L(M)$. QED

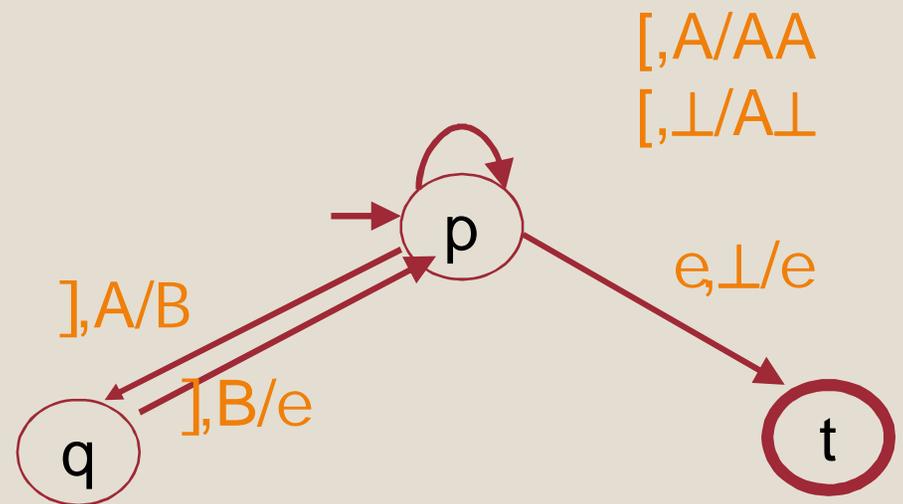
- $L = \{x \in \{[,]\}^* \mid x \text{ is a balanced string of } [\text{ and }], \text{ i.e., } \#[(x) = 2 \#](x) \text{ and all "}] \text{"s must occur in pairs} \}$
- Ex: $[] [[]] \in L$ but $[] []] \notin L$.
- L can be accepted by the PDA

$M = (Q, S, G, d, p, \perp, \{t\})$, where

$Q = \{p, q, t\}$, $S = \{[,]\}$, $G = \{A, B, \perp\}$,

and d is given as follows:

- $(p, [, \perp) \dashrightarrow (p, A\perp)$,
- $(p, [, A) \dashrightarrow (p, AA)$,
- $(p,], A) \dashrightarrow (q, e)$,
- $(q,], B) \dashrightarrow (p, e)$,
- $(p, e, \perp) \dashrightarrow (t, e)$



- M can be simulated by the CFG $G = (N, S, \langle p \perp t \rangle, P)$ where
 - $N = \{ \langle X D Y \rangle \mid X, Y \in \{p, q, t\} \text{ and } D \in \{A, B, \perp\} \}$,
 - and P is derived from the following pseudo rules :
 - $(p, [, \perp) \dashrightarrow (p, A\perp) : \langle p \perp ? \rangle \rightarrow [\langle p A \perp ? \rangle$
 - $(p, [, A) \dashrightarrow (p, AA) : \langle p A ?_1 \rangle \rightarrow [\langle p A ?_2 A ?_1 \rangle$
 - $(p,], A) \dashrightarrow (q, B), : \langle p A ? \rangle \rightarrow] \langle q B ? \rangle$
 - This produce 3 rules (? = p or q or t).
 - $(q,], B) \dashrightarrow (p, e), : \langle q B ? \rangle \rightarrow] \langle p e ? \rangle$
 - This produces 1 rule :
 - (? = p, but could not be q or t why ?)
 - $\langle q B ? \rangle \rightarrow] \langle p e ? \rangle \Rightarrow \langle q B p \rangle \rightarrow] \langle p e p \rangle \rightarrow^0]$
 - $(p, e, \perp) \dashrightarrow (t, e) : \langle p \perp ? \rangle \rightarrow \langle t e ? \rangle$
 - This results in $\langle p \perp t \rangle \rightarrow e$ (since $\langle t e t \rangle \rightarrow e$.)

- $\langle p \perp ? \rangle \rightarrow [\langle pA\perp? \rangle \rightarrow$ resulting in 3 rules : $? = p, q$ or t .
- $\langle p \perp p \rangle \rightarrow [\langle pA\perp p \rangle \text{ ---(1)}$
- $\langle p \perp q \rangle \rightarrow [\langle pA\perp q \rangle \text{ ---(2)}$
- $\langle p \perp t \rangle \rightarrow [\langle pA\perp t \rangle \text{ ---(3)}$
- (1) ~ (3) each again need to be expanded into 3 rules.
- $\langle pA\perp p \rangle \rightarrow \langle pA? \rangle \langle ? \perp p \rangle$ where $? is p or q or t.$
- $\langle pA\perp q \rangle \rightarrow \langle pA? \rangle \langle ? \perp q \rangle$ where $? is p or q or t.$
- $\langle pA\perp t \rangle \rightarrow \langle pA? \rangle \langle ? \perp t \rangle$ where $? is p or q or t.$
- $\langle p A ?_1 \rangle \rightarrow [\langle pA?_2A?_1 \rangle$ resulting in 9 rules:
- Where $?_2 = p, q,$ or t .
- $\langle p A p \rangle \rightarrow [\langle pA?_2 \rangle \langle ?_2\perp p \rangle \text{ ---(1)}$
- $\langle p A q \rangle \rightarrow [\langle pA?_2 \rangle \langle ?_2\perp q \rangle \text{ ---(2)}$
- $\langle p A t \rangle \rightarrow [\langle pA?_2 \rangle \langle ?_2\perp t \rangle \text{ ---(3)}$